

Report to:	FINANCE AND AUDIT COMMITTEE
Item number	10
Relevant Officer:	Tracy Greenhalgh, Chief Internal Auditor
Date of Meeting	26 th June 2014

ANNUAL REPORT OF THE CHIEF INTERNAL AUDITOR 2013/14

1.0 Purpose of the report:

1.1 The Committee to consider the Annual Report of the Chief Internal Auditor for 2013/14.

2.0 Recommendation(s):

2.1 To approve the report and note its findings.

3.0 Reasons for recommendation(s):

3.1 To enable the Committee to receive an update report on the work undertaken by Internal Audit in relation to internal audit, fraud investigation, risk management and emergency and business continuity planning.

3.2a Is the recommendation contrary to a plan or strategy adopted or approved by the Council? No

3.2b Is the recommendation in accordance with the Council's approved budget? Yes

3.3 Other alternative options to be considered:

To not receive the report but this would prevent the Committee from receiving an update on the work undertaken by Internal Audit.

4.0 Council Priority:

4.1 The relevant Council Priority is

- Deliver quality services through a professional, well-rewarded and motivated workforce

5.0 Background Information

5.1 A quarterly summary of work undertaken is submitted in line with best practice, including the CIPFA audit code and the CIPFA Audit Committee guidance.

5.2 The report covers areas relevant to the work of the Committee in terms of internal audit, fraud investigation, risk management and emergency and business continuity planning.

5.3 The report for the fourth quarter each year also includes the Chief Internal Auditor's annual opinion on the internal control environment. This is included as section 1 of the report attached at Appendix 8 (a).

5.4 Further details on any areas included in the report, and in particular expanded summaries or full copies of audit reports, are available to the Committee as required.

Does the information submitted include any exempt information?

No

List of Appendices:

Appendix 10(a): Risk Services Quarterly Report for 1st January to 31st March 2014

6.0 Legal considerations:

6.1 None

7.0 Human Resources considerations:

7.1 None

8.0 Equalities considerations:

8.1 None

9.0 Financial considerations:

9.1 None

10.0 Risk management considerations:

10.1 None

110 Internal/ External Consultation undertaken:

111 None

120 Background papers:

121 None